

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: BUCKWALTER et al. ) Confirmation No. 7586  
Application No.: 10/697,541 )  
Filing Date: 10/30/2003 ) Group Art Unit: 3694  
For: METHOD AND APPARATUS FOR ) Examiner: Shahid R. Merchant  
MONITORING AND EVALUATING )  
LIMIT ORDER TRADING ) AMENDMENT and RESPONSE to June 4,  
 ) 2007 Non-Final Office Action  
 ) Docket No.: G08.047/U  
 ) PTO Customer Number 28062  
 ) Buckley, Maschoff & Talwalkar LLC  
 ) 50 Locust Avenue  
 ) New Canaan, CT 06840  
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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed June 4, 2007, please amend the above-identified application as follows.

**Amendments to the claims** are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 12 of this paper.